

01-29-01 A

**EXPRESS MAIL CERTIFICATE**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

|                       |                    |                  |                   |
|-----------------------|--------------------|------------------|-------------------|
| Typed or Printed Name | MARGARET D. PIERCE | Express Mail No. | EL 563 388 364 US |
| Signature             | <i>[Signature]</i> | Date             | January 25, 2001  |

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                      |   |
|----------------------|---|
| CUSTOMER NO.         | 24353   |
| Docket No.           | 6510-173US1   |
| First Named Inventor | Raz, et al.   |
| Title:               | Inhibitors of DNA Immunostimulatory Sequence Activity |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

Address to: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification Total Pages 36  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 05
4. ☒ Oath or Declaration Total Sheets 02
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
  - c. ☐ Unsigned

5. ☐ Microfiche Computer Program (Appendix)
6. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☒ Computer Readable Copy (in prior application)
  - b. ☒ Paper Copy (identical to computer copy)
  - c. ☒ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ Small Entity ☒ Statement filed in prior application  
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

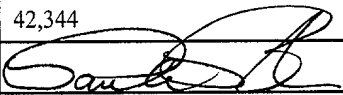
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/092,314, filed June 5, 1998, which application claims the benefit of Provisional Application No. 60/048,794, filed June 6, 1997.

**UTILITY PATENT APPLICATION TRANSMITTAL**  
 (Only for new non-provisional applications under 37 CFR 1.53(b))

**17. CORRESPONDENCE ADDRESS**

|                         |                                 |                  |                |
|-------------------------|---------------------------------|------------------|----------------|
| <b>Individual Name</b>  | Paula A. Borden                 |                  |                |
| <b>Firm Name</b>        | BOZICEVIC, FIELD & FRANCIS LLP  |                  |                |
| <b>Address</b>          | 200 Middlefield Road, Suite 200 |                  |                |
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**SIGNATURE of Applicant or Assignee of Record**

|                         |   |  |  |
|-------------------------|---|--|--|
| <b>Individual Name</b>  | Paula A. Borden   |  |  |
| <b>Registration No.</b> | 42,344  |  |  |
| <b>Signature</b>        |  |  |  |
| <b>Date</b>             | Jan. 25, 2001   |  |  |

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# FEE TRANSMITTAL

Note: Effective October 1, 1998.  
Patent fees are subject to annual revision.

**CUSTOMER NO.****24353****Attorney Docket Number****6510-173CON****First Named Inventor****Raz, et al.****Application Number****N/A****Filing Date****Herewith****Group Art Unit****N/A****Examiner Name****N/A**

## METHOD OF PAYMENT

1. ☐ A Check Is Enclosed2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field &amp; Francis LLP

## FEE CALCULATION

### 1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|---------|
| 101            | 710             | 201            | 355             | Utility filing fee     | \$      |
| 102            | 320             | 206            | 160             | Design filing fee      |         |
| 104            | 490             | 207            | 245             | Plant filing fee       |         |
| 109            | 710             | 208            | 355             | Reissue filing fee     |         |
| 110            | 150             | 214            | 75              | Provisional filing fee |         |
| Subtotal (1)   |                 |                |                 |                        | \$355   |

### 2. CLAIMS

No. of claims as filed  
or after amendmentMost claims  
previously paidExtra  
claimsFee from  
belowFee  
Due

|              |    |   |    |   |    |   |    |   |       |
|--------------|----|---|----|---|----|---|----|---|-------|
| Total claims | 55 | - | 20 | = | 35 | x | 9  | = | \$315 |
| Ind. claims  | 13 | - | 3  | = | 10 | x | 40 | = | \$400 |

Multiple Dependent claims

x

=

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description                                      |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                               |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                    |
| 104            | 270             | 204            | 135             | Multiple dependent claim                             |
| 109            | 80              | 209            | 40              | Reissue independent claims over original patent      |
| 110            | 18              | 210            | 9               | Reissue claims in excess of and over original patent |

Subtotal (2) \$715

### 3. ADDITIONAL FEES

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Due |
|---------------------|-----------------|----------------|-----------------|--|---------|
| 105                 | 130             | 205            | 65              | Surcharge - late filing fee or oath                            |         |
| 139                 | 130             | 139            | 130             | Non-English specification                                      |         |
| 115                 | 110             | 215            | 55              | Ext. for reply within first month                              |         |
| 117                 | 890             | 217            | 445             | Ext. for reply within third month                              |         |
| 128                 | 1,890           | 228            | 945             | Ext. for reply within fifth month                              |         |
| 120                 | 310             | 220            | 155             | Filing brief in support of appeal                              |         |
| 140                 | 110             | 240            | 55              | Petition to revive - unavoidable                               |         |
| 142                 | 1,240           | 242            | 620             | Utility issue fee (or reissue)                                 |         |
| 123                 | 50              | 123            | 50              | Petitions related to prov. appl.                               |         |
| 146                 | 710             | 246            | 355             | Filing submission after final rejection (37 CFR 1.129(a))      |         |
| 112                 | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action         |         |
| 143                 | 440             | 243            | 220             | Design issue fee   |         |
| 581                 | 40              | 581            | 40              | Recording patent assignment                                    | 40      |
| 127                 | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet         |         |
| 147                 | 2,520           | 147            | 2,520           | Filing a request for reexamination                             |         |
| 116                 | 390             | 216            | 195             | Ext. for reply within second month                             |         |
| 118                 | 1,390           | 218            | 695             | Ext. for reply within fourth month                             |         |
| 119                 | 310             | 219            | 155             | Notice of Appeal   |         |
| 121                 | 270             | 221            | 135             | Request for oral hearing                                       |         |
| 141                 | 1,240           | 241            | 620             | Petition to revive - unintentional                             |         |
| 122                 | 130             | 122            | 130             | Petitions to the Commissioner                                  |         |
| 126                 | 180             | 126            | 180             | Submission of IDS  |         |
| 149                 | 710             | 249            | 355             | For each additional invention to be examined (37 CFR 1.129(b)) |         |
| 113                 | 1840*           | 113            | 1840*           | Requesting publication of SIR after Examiner action            |         |
| 144                 | 600             | 244            | 300             | Plant issue fee  |         |
| Other fee (specify) |                 |                |                 |  |         |

SUBTOTAL (3) \$40.00

**TOTAL AMOUNT TO BE CHARGED (\$ 755.00)**

### SUBMITTED BY

Complete (if applicable)

Typed or Printed Name

Carol L. Francis, BOZICEVIC, FIELD &amp; FRANCIS LLP

Reg. Number

36,513

Signature

Date

Jan 25, 2007

Deposit Account

50-0815